



Agenda item 11

# Report on the work of the Office of Internal Oversight

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# 1. OIO Reform

- Consolidated Oversight: Investigation, Audit and Evaluation
- Decentralization: Establishment of 4 offices in
  - Bangkok,
  - Nairobi,
  - Panama City, and
  - Vienna.
- Increased resources: from 39 to currently 54 positions
- 18 Positions vacant and under recruitment

## 2. Investigations – Caseload and Trends

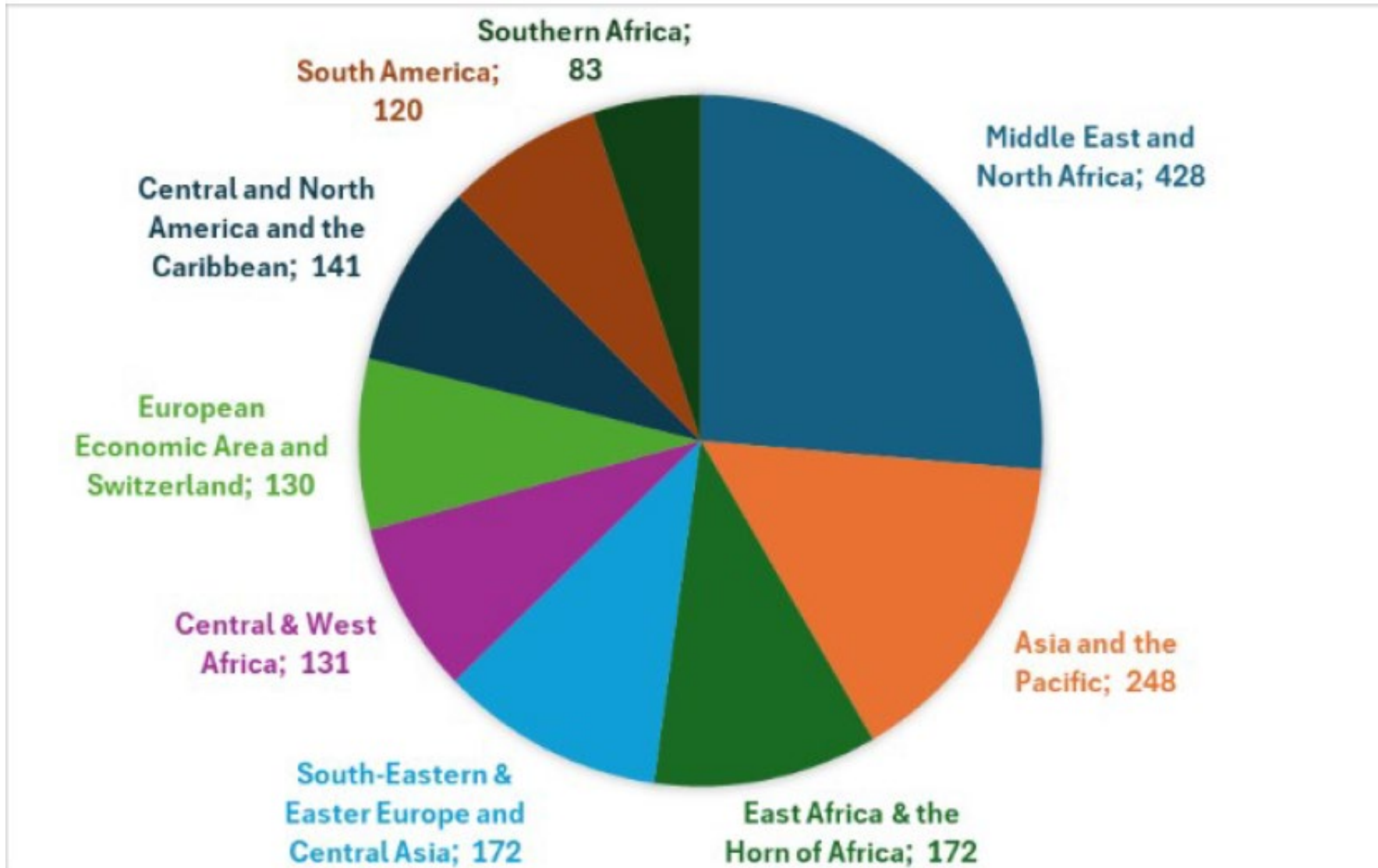
- 45% increase of new allegations received: 2,803 (vs. 1,931)
- Significant increase in open cases: 1505 (vs. 617)
- 1306 open matters at intake stage.
- Trend is expected to continue with 341 new allegations received in Sept.

## 2. Investigations – Allegations by Type

Case Type	2022/2023	2023/2024
Fraud and Financial irregularities	416	521
Harassment, Discrimination, AoA, Retaliation	302	395
Other misconduct	269	343
Sexual Misconduct	119	128
Human Resources	78	113
Conflict of Interest	77	78
Crime, Assault and Threat	30	37
Privileges & Immunities and Local Laws	14	10
<b>Total</b>	<b>1,305</b>	<b>1,625*</b>

\* 1,178 additional cases were screened out as void or not within OIO

## 2. Investigations – Allegations by Region



## 2. Investigations – Disposition of Cases

Action taken	2022/2023	2023/2024
<b><i>After preliminary assessment:</i></b>		
Closed, investigation not warranted	1,134	1,700
Referred to other offices	254	116
Directly referred to LEG GL	38	25
Referred to third party for proxy investigation	25	15
<b>Total cases closed after preliminary assessment</b>	<b>1,451</b>	<b>1,856</b>
<b><i>After investigation:</i></b>		
Closed and submitted to LEGGL with investigation report	54	25
Closed and referred to other offices	1	3
Closed (not substantiated)	44	31
<b>Total cases closed after investigations</b>	<b>99</b>	<b>59</b>
<b>Total cases closed during the reporting period</b>	<b>1,550</b>	<b>1,915</b>

# 3. Audit Activities - Engagements and Findings

- OIO performed 16 engagements including 12 country office and 4 advisory audits.
- Of the 12 country office audits
  - 9 were: partially effective, with some improvements needed
  - 3 partially effective, with major improvements needed
- Recurrent findings are consistent with previous years and relate to:
  - Supply chain management
  - Finance to manage
  - Hire to retire
  - Plan to report
  - Risk management
  - Fundraising to agreement

### 3. Audit Activities – Status of Recommendations

- All 298 audit recommendations are “current”, with no long outstanding recommendations
- Implementation of the recommendations is being closely monitored with their respective owners.

Note: All audit executive summaries are public and available on the OIO webpage



## 4. Evaluations

- In February 2024, Central Evaluations Division (CED) integrated into OIO
- CED activities include:
  - Publication of Evaluation Strategy 2024-2028
  - Publication of six Central Evaluations
  - Guidance and technical support to decentralized evaluations
  - Support to Inter-Agency Humanitarian Evaluation initiatives
  - Development of web-based Monitoring & Evaluation guidelines
  - Publication of Real-Time Learning and Performance Monitoring Review Guidance